

REMARKS

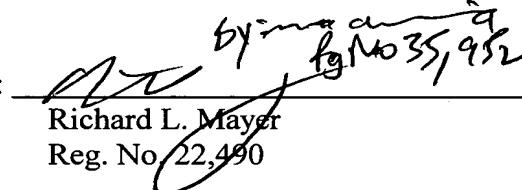
This Preliminary Amendment cancels original claims 1 to 10, and adds new claims 11 to 20 in the underlying PCT Application No. PCT/DE03/01029. The new claims conform the claims to the U.S. Patent and Trademark Office rules and do not add new matter to the application.

In accordance with 37 C.F.R. § 1.125, the Substitute Specification (including the Abstract, but without the claims) contains no new matter. The amendments reflected in the Substitute Specification (including the Title and Abstract) are to conform the Specification and Abstract to U.S. Patent and Trademark Office rules or to correct informalities. As required by 37 C.F.R. § 1.121 and § 1.125, a Marked Up Version Of The Substitute Specification comparing the Specification of record and the Substitute Specification also accompanies this Preliminary Amendment. In the Marked Up Version, underlining indicates added text and "strike-throughs" and double-brackets indicate deleted text. Approval and entry of the Substitute Specification (including Abstract) is respectfully requested.

It is asserted that the subject matter of the present application is new, non-obvious, and useful. Prompt consideration and allowance of the application are respectfully requested.

Respectfully Submitted,
KENYON & KENYON

Dated: 3/25/05

By: 
Richard L. Mayer
Reg. No 22,490

One Broadway
New York, New York 10004
Telephone: (212) 425-7200
Facsimile: (212) 425-5288

CUSTOMER NO. 26646

10/529424

JC06 Rec'd PCT/PTO 25 MAR 2005
[10191/3958]

INTERFEROMETRIC MEASURING DEVICE

FIELD OF THE INVENTION

The present invention relates to an interferometric measuring device for recording the shape, the roughness or the separation distance of the surface of a measuring object using 5 a modulation interferometer, to which a radiation source supplies short-coherent radiation and which has a first beam splitter for splitting the radiation supplied into a first beam component guided via a first arm and into a beam component guided via a second arm, of which the one is shifted 10 with respect to the other, with the aid of a modulation device, in its light phase or light frequency, and passes through a delay line, and which are subsequently combined at an additional beam splitter of the modulation interferometer, and having a measuring probe, that is spatially separated from 15 the modulation interferometer and is coupled or able to be coupled to the latter, in which the combined beam components are split up in a common arm in a partially transmitting region into a measuring beam and a reference beam, and whereupon the measuring beam reflected at the surface and the 20 reference beam reflected at a reference plane are superposed, and having a receiver device and an evaluating device for converting the radiation supplied to it into electrical signals and for evaluating the signals on the basis of a phase difference.

25 Background Information

BACKGROUND INFORMATION

Such an interferometric measuring device is ~~described~~ ~~discussed~~ in German Patent Application ~~DE~~ document no. 198 19 762-A1. In this ~~known~~ measuring device, one part, the so-called

MARKED UP VERSION OF
SUBSTITUTE SPECIFICATION

EV 323 024 799 US

modulating interferometer, is spatially separated from the actual measuring probe, and is optically connected to it via a light-conducting fiber system, so that the measuring probe per se may be designed as a relatively simply constructed, easily 5 manipulable unit. A broad-band, short-coherent radiation is supplied to the modulation interferometer, which is split into two beam components, at the input of the modulation interferometer with the aid of a beam splitter, of which the one is shifted in its light phase or light frequency with 10 respect to the other, using a modulation device, such as an acousto-optic modulator.—

In the modulating interferometer, one of the two beam components runs through a delay element which generates an 15 optical path difference of the two beam components which is greater than the coherence length of the short-coherent radiation. In the measuring probe, in a measuring arm, with respect to a reference arm, an additional optical path difference is generated in such a way that the path difference 20 effected by the delay element is compensated for, and, consequently, an interference is created of the reference radiation coming from the reference plane of the reference arm and the radiation coming back from the object surface in the measuring branch, which is subsequently analyzed so as to 25 ascertain the desired surface property (shape, roughness, separation distance) via a phase evaluation. In the measuring probe, the measuring arm and the reference arm are situated in one exemplary embodiment in one common light path (common path), a partially transmitting element being provided for 30 forming the measuring arm and the reference arm.

A similar interferometric measuring device having such a modulating interferometer and a measuring probe connected to it via a light-conducting fiber system is also

described~~and~~discussed in DE German patent document no. 198 08 273 A1, in a beam splitting and radiation detecting unit, using receiving equipment, a splitting of the radiation brought to interference into radiation components of different wavelength 5 taking place, so as to form therefrom a synthetic wavelength and to increase the measuring range (range of unambiguity).

In the interferometric measuring devices named above, which are based on heterodyne interferometry, but which utilize the 10 properties of a broad-band, short-coherent radiation, the modulating interferometer designed as a Mach-Zehnder interferometer has a system of classical optical components, such as collimation optics lying upstream of the input end of the beam splitter, the beam splitter and reflecting mirror at 15 the input end and the output end. In this context, the beam components experience several reflections at the beam splitter surfaces and at the mirrors, before they are coupled in to the optical light-conducting fiber system. The optical components have to be positioned with great accuracy, since the effect of 20 every angle error is doubled by the reflection. In this context, it is difficult to ensure the durability of a calibration. In connection with fitting in a glass plate to compensate for optical asymmetries, too, additional 25 difficulties come about during the calibration. A costly construction is connected with these difficulties, an exact adjustment to the properties of the measuring probe being also required.

SUMMARY OF THE INVENTION

30 The exemplary embodiment and/or exemplary method of the present invention is based on the object of making available an interferometric measuring device of the type mentioned at the outset, which permits achieving as accurate as possible a measurement, using a simplified construction.

~~Summary of the Invention~~

~~This object is achieved with the features of Claim 1.~~

~~According to that~~

According to the exemplary embodiment and/or exemplary method

5 of the present invention, it is provided that the partially transmitting region is formed using an exit face of a probe fiber that is slanting at an exit angle with respect to the optical probe axis, and using an entrance face of a fiber section following at the object side that is also slanting at an entrance angle with respect to the optical probe axis,
10 between the exit face and the entrance face a wedge-shaped gap being formed.

15 Using these measures, there comes about a similar effect as when using a partially transmitting or partially reflecting coating in the partially transmitting region. Because of the slanting faces in conjunction with the numerical aperture, in this context, the characteristics of the portion of radiation transmitted to the surface of the measuring object, and coming 20 from it, as well as the portion of radiation reflected in the partially transmitting region, may be influenced in a suitable manner so as to achieve interference patterns that may be able to be optimally evaluated._

25 In this context, the measures that the exit face and the entrance face are inclined in the same direction with respect to the probe axis contribute to a functioning that is as good as possible, as well as the measures that the exit face and the entrance face are selected so that a Fresnel reflection 30 occurs.

One ~~design~~arrangement that is advantageous for the functioning is that exit angle α is between 5° and 8° , and the entrance angle is between α and 0° .

The construction and the calibration are favored if the probe fiber and the fiber section are accommodated axially aligned in a tube-shaped receptacle which is surrounded by an outer tube of the measuring probe.

The measures, that on the end face of the receptacle, situated away from the measuring object, a positioning piece is provided that surrounds the probe fiber and is also accommodated concentrically to the tube, and that the fiber section is fixed in the object-end, front part of the receptacle and the probe fiber is fixed in the object-remote, rear part of the receptacle and/or the tube, favor the construction and precise calibration, as do the additional measures that the front part of the receptacle is separated from the rear part of the receptacle by diametrically opposite gaps, the one gap being limited at the rear by the elongation of the exit face of the probe fiber, and the other gap being limited on the front in the elongation of the slanting entrance face, and that the front part and the rear part of the receptacle are enclosed by a common sleeve-shaped retaining ring, which is surrounded on the outside by the tube.

For the construction and the manner of functioning, additionally the measure is advantageous that a front section of the fiber section has a lesser diameter than its rear section. An accurate measurement is supported by an object-side exit face of the fiber section being inclined at an exit angle of at least 46° to the axis normal. The measuring result may also be favorably influenced by the selection of the inclination of the object-side exit face.

Further measures also contribute to an advantageous

construction, namely that the modulating interferometer has at least partially a polarization-maintaining, light-conducting structure in the form of an optical fiber conductor or an integrated optics, the light-conducting structure being opened 5 (split) at at least one arm.

~~Brief Description of the Drawings~~

~~The present invention is explained in greater detail below using exemplary embodiments, with reference to the drawings.~~

~~The figures show:~~

10 Figure 1

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows a schematic representation of ~~an overall~~ construction of an interferometric measuring device having a modulating interferometer and a measuring probe.

15

Figure 2 shows a more detailed ~~embodiment~~ illustration of the modulating interferometer ~~shown in~~ of Figure 1.

20 Figure 3 shows the measuring probe and the measuring object in a side view with a representation of the radiation offset.

Figure 4 shows a schematic representation of a fiber part of the measuring probe in a side view.

25 Figure 5 shows the front section of the measuring probe in a schematic lateral representation.

30 Figure 6 shows a further exemplary embodiment of the front section of the measuring probe in a schematic lateral representation.

~~Description of the Embodiment~~

DETAILED DESCRIPTION

As shown in Figure 1, the interferometric measuring device based on the principle of heterodyne interferometry has a

broad-band, short-coherent light source 1, whose radiation is supplied to a so-called modulating interferometer 2. In modulating interferometer 2, which is shown in greater detail in Figure 2, radiation $s(t)$ is split up at a first beam 5 splitter 2.3 into a first beam component 2.1 guided via a first arm, having a partial radiation $s_1(t)$ and a second beam component 2.1' guided via a second arm, having a partial radiation $s_2(t)$, and is recombined at the exit side at an additional beam splitter 2.10, and from there it is conducted 10 via a light-conducting fiber device 6 to a distant measuring probe.—

From measuring probe 3, which is constructed, for example, as a Fizeau interferometer or a Mirau interferometer, as is 15 explained in more detail in the documents named at the outset, the radiation subsequently reaches, via an additional light-conducting fiber device 7, a receiver device 4 having a beam splitting unit 4.1 and subsequent photoelectric receivers 4.2, in which a conversion into electrical signals takes 20 place. In a subsequent evaluation unit 5, having a phase detector 5.1 and a computing unit 5.2, the properties of the measuring surface picked up using measuring probe 3 (such as roughness, shape, separation distance) are then ascertained.

25 Modulating interferometer 2 is designedarranged as a Mach-Zehnder interferometer, the two arms in connection to first beam splitter 2.3 having first and second entrance-side light-conducting fibers 2.11, 2.11', and first and second exit-side light-conducting fibers 2.12, 2.12', which lead to 30 additional beam splitter 2.10. First beam splitter 2.3 is, in this case, formed in an optical fiber, by which the radiation coming from light source 1 is advanced. At the exit of the coupler thus formed, the beam components are collimated with the aid of lens-type coupling elements 2.4, 2.4', and the two

collimated beam components pass through a first or a second modulating unit 2.2, 2.2', for instance, in the form of an acousto-optical modulator, a fiber optic piezo modulator or a thermal phase modulator, the modulating units 2.2, 2.2' being
5 advantageously able to be developed also as integrated optical components. In order to correct the chromatic dispersion, at least one of beam components 2.1, 2.1' passes through a glass plate 2.7' which is situated in a first or a second light path 2.5, 2.5'. The choice of the positioning of the glass plate
10 2.7' and/or its thickness is determined by calculation. In their further course, first beam component 2.1 and second beam component 2.1' are conducted to a first or a second lens-type light guide element 2.6, 2.6' and coupled into the first or the second exit-side light-conducting fiber 2.12, 2.12'.-

15 First or second exit-side light-conducting fiber 2.12, 2.12' has a greater optical path length than the other light-conducting fiber to the extent that the optical path difference $\Delta L = L_2 - L_1$ between the two arms is greater than
20 the coherence length of the short-coherent radiation $s(t)$ after running through filters 4.3 and 4.3'. One of the lens-type coupling elements 2.4, 2.4' or light-conducting elements 2.6, 2.6', for example, light-conducting element 2.6', may be fastened to a calibrating device using which the
25 optical path difference ΔL may be adjusted, by hand or with the aid of a motor, for instance, while using a micrometer bench, in such a way that the path difference ΔL between the two arms is tuned to that of measuring probe 3 so as to effect interference using measuring probe 3. Light-conducting fibers
30 2.11, 2.11', 2.12, 2.12' are monomode. Besides, they are advantageously polarization-maintaining, especially if light source 1 is polarized and/or if modulating units 2.2, 2.2' are formed of double-refractive crystals and/or if installation at the coupling locations does not yield satisfactory stability

with respect to the polarization direction in the two interferometer arms. To achieve the optical path difference, an optical alternate route 2.9' is provided, for example, in second exit-side light-conducting fiber 2.12'.

5

Probe 3, which is used to detect the object surface, which is designedarranged, for instance, as a Fizeau interferometer or a Mirau interferometer, has a reference branch having a reference plane and a measuring branch leading to the object surface, whose optical path differences are selected so that the path difference generated in modulating interferometer 2 is compensated for, so that the measuring beam coming from the object surface and the reference beam coming from the reference plane interfere when they are superposed. The interfering radiation is supplied to beam splitting unit 4.1 for spectral partitioning into components of different wavelengths, and is subsequently supplied to the allocated photoelectric receivers 4.2.

20 The desired surface property is ascertained from the interfering radiation and the electrical signals by evaluating the phase differences, by using phase detector 5.1 and subsequent calculating unit 5.2. In this context, the evaluated phase difference is created by the frequency difference, generated by the first or second modulating unit 2.2, 2.2', which, corresponding to the heterodyne method is relatively low with respect to the fundamental frequency. The calculation is carried out according to the formula:

25

30
$$\Delta\phi = 2\pi \cdot (2e/\Lambda) + \phi_0$$
 where ϕ_0 is a constant
 $\Lambda = \lambda_1 \cdot \lambda_2 / (\lambda_2 - \lambda_1)$ is the synthetic wavelength of the measuring device,
 λ_1 is the wavelength at a first photoelectric

receiver,
 λ_2 is the wavelength at a second photoelectric
receiver,_
e is the measuring distance.

5

From this, using evaluation unit 5, the respective recorded
distance of the surface at a measuring point is determined
from the relationship:

10
$$e = \Delta\phi / (2\pi) \cdot (\Lambda/2).$$

Distance measure e is thus determined from a measurement of
the phase between two electrical signals, and therefore the
measurement is independent of the optical intensity received
15 by the photodiodes.

Figure 3 shows a fiber part of the measuring probe,
designedarranged as a Mirau interferometer having a monomode
light-conducting fiber, and the path displacement of the
20 incident $s_2(t)$ and $s_1(t)$, as well as the retracing radiation
components $r_1'(t)$, $r_1(t)$, $r_2(t)$ and $r_2'(t)$ from the surface of
measuring object 8 and a partially transmitting region 3.3
between an object-side exit surface 3.31 of a probe fiber 3.1
and an entrance face 3.32, farther away from the object, of a
25 fiber section 3.2. The retracing portions of radiation $r_1'(t)$
and $r_1(t)$ come about, in this context, from that radiation
 $s_1(t)$ which has passed through the branch of modulating
interferometer 2 without alternate route, portion of radiation
 $r_1'(t)$ being reflected by partially transmitting region 3.3 and
30 portion of radiation $r_1(t)$ being reflected by the surface of
measuring object 8.-

By contrast, the retracing portions of radiation $r_2(t)$ and
 $r_2'(t)$ come about from that radiation $s_2(t)$ of modulating

interferometer 2 which has passed through the optical alternate route, retracing portion of radiation $r_2(t)$ having being reflected by partially transmitting region 3.3 and retracing portion of radiation $r_2'(t)$ having being reflected by 5 the surface of measuring object 8. It is shown that, corresponding to the compensation of the path difference ΔL formed in modulating interferometer 2 by measuring probe 3, only the retracing portions of radiation $r_1(t)$ and $r_2(t)$ lie within the coherence length and interfere with each other.

10

In the exemplary embodiment as in Figure 3, object-side exit face 3.4 of fiber section 3.2 is inclined preferably, which may be at an angle of 45° with respect to optical probe axis 3.5. A reflective metallic or dielectric coating is applied to 15 exit face 3.4. The radiation is bent in this manner essentially at right angles and guided to the surrounding surface of the object, and the radiation reflected by the face reenters the light-conducting fiber via exit face 3.4.

—

20 As shown in Figures 4 to 6, partially transmitting region 3.3 is formed between exit face 3.31 of probe fiber 3.1 and entrance face 3.32 of fiber section 3.2 by an inclination of exit face 3.31 by an angle α with respect to the normal of probe axis 3.5, and by an inclination of entrance face 3.32 of 25 fiber section 3.2 by an angle β with respect to the normal of probe axis 3.5, the angle α being greater than the angle β , and a wedge-shaped gap coming about between exit face 3.31 and entrance face 3.32. The alignment of the inclination with respect to the normal is oriented in the same manner towards 30 the object in the case of exit face 3.31 and entrance face 3.32. Angle α of exit face 3.31 is selected so that the radiation flow of the Fresnel reflection on exit face 3.31 is not guided by probe fiber 3.1.—

For a monomode light-conducting fiber having a numerical aperture of 0.12, the angle α advantageously amounts to about 6° . Angle β is selected so that the radiation flow of the Fresnel reflection is guided onto entrance face 3.32 of fiber section 3.2 by the probe fiber, the extent of the radiation flow that is to be coupled into probe fiber 3.1 being taken into consideration. If angle β is equal to 0, the coupling rate amounts to about 3.6 %. If angle β runs counter to angle α , the degree of coupling tends toward 0. If angle β tends counter to angle α , the transmission for this transition and a retracing radiation goes toward 86 %. If, however, angle β is equal to 0, the transmission amounts to about 60 %. A numerical aperture of 0.12 comes about, for example, at a wavelength of 1.550 nm and a diameter of 10.4 μm . Angle α should not be selected to be less than about 5° .

The reflection treatment of exit face 3.4 of fiber section 3.2 may be reduced or avoided if exit angle γ is increased so as to achieve total reflection at exit face 73.4. This is the case, for instance, in the case of a monomode light-conducting fiber having a numerical aperture of 0.12, at an exit angle gamma above 48° .

At the object-side end region of fiber section 3.2, an anti-reflection treatment 3.22 may be undertaken on the outer treatment (cladding), in order to reduce the sensitivity with respect to the Fresnel reflection, or exit angle gamma may be enlarged to the extent that the radiation flow of this reflection is no longer coupled into fiber section 3.2.

As shown in Figure 5, probe fiber 3.1 and fiber section 3.2 may be accommodated in the same tubule-type accommodation 3.6 and brought into contact. Accommodation 3.6 is the same that is used for connectors of monomode light-conducting fibers.

Accommodation 3.6 is inserted into a tube 3.9 of the measuring probe 3 that surrounds it. On the inside of tube 3.9, on the end face of accommodation 3.6 lying away from object, there is subsequently also a positioning piece 3.7 for guiding and

5 preadjusting probe fiber 3.1. Fiber section 3.2 is fixed on the inside of the accommodation with the aid of adhesives 3.8', while probe fiber 3.1 is fixed in accommodation 3.6 and/or positioning piece 3.7 with the aid of adhesives 3.8.

10 Another procedure for aligning and fixing probe fiber 3.1 and fiber section 3.2 in probe 3 is shown in Figure 6. Probe fiber 3.1 is introduced into a rear section 3.6' of tubule-type accommodation 3.6, and the front end face of accommodation section 3.6' and of exit surface 3.31 of probe fiber 3.1 are
15 polished at the desired angle, the front end face in the region of the front-most edge of probe fiber 3.1 being directed normal to optical axis 3.5 of probe fiber 3.1.-

Accordingly, the rear end face of a front section 3.6" of
20 accommodation 3.6 is polished corresponding to the desired entrance surface 3.32 of fiber section 3.2, the region of the rear end face of front section 3.6" of accommodation 3.6, which is adjacent to the hindmost edge of fiber section 3.2, being aligned normal to optical axis 3.5 of probe fiber 3.1.

25 Between rear section 3.6' and front section 3.6" of accommodation 3.6 there comes about, in this context, the set-up shown in Figure 6 in longitudinal section. The two sections 3.6' and 3.6" of accommodation 3.6 are axially aligned with each other using a retaining ring 3.10 that is
30 applied, for example slotted, and inserted into tube 3.9.

Furthermore, in tube 3.9 there is also inserted, in turn, bordering on the rear end face of accommodation 3.6, a concentric positioning piece 3.7 for calibrating and prefixing

probe fiber 3.1, as in the exemplary embodiment according to Figure 5. The fixing of probe fiber 3.1 and of fiber section 3.2 using adhesives 3.8, 3.8' thus also takes place corresponding to the exemplary embodiment according to Figure 5, fiber section 3.6 being fixed in front section 3.6" of accommodation 3.6.

~~It is also possible to align sections 3.6' and 3.6" may be aligned~~ by inserting them into a V-shaped profile. Because the two sections 3.6', 3.6" of accommodation 3.6 are inserted separately, the outermost end of measuring probe 3 may be changed immovably and corresponding to the property of the measuring object, the same probe fiber 3.1 being retained.

As Figures 4 to 6 also show, the outer section of fiber section 3.2 is reduced in its diameter, so that it may also be introduced into tight holes of a measuring object 8, whose diameter amounts to less than 130 μm . The diameter of a monomode light-conducting fiber having outer treatment (cladding) usually amounts to 125 μm . The diameter may be reduced with the aid of chemical treatment using an appropriate acid or heat treatment, so as to obtain a desired tapering 3.21. Antireflecting treatment 3.22 is then undertaken in the region of the section of lower diameter. These measures, too, contribute to one's being able to undertake reliable measurements even in tight recesses of a measuring object 8.

Abstract

ABSTRACT OF THE DISCLOSURE

The present invention relates to ~~a~~An interferometric measuring device for recording ~~the~~ shape, ~~the~~ roughness or ~~the~~

5 separation distance of the surface of a measuring object ~~(8)~~, having a modulating interferometer ~~(2)~~, to which is supplied short-coherent radiation by a radiation source ~~(1)~~, and ~~which~~ ~~has~~having a first beam splitter ~~(2.3)~~ for splitting the radiation supplied into a first beam component ~~(2.1)~~ guided

10 via a first arm, and into a second beam component

~~(2.1')~~components guided respectively via first and second arms, of which the one is shifted ~~with respectas~~ to the other with ~~the aid of~~ a modulating device ~~(2.2, 2.2')~~ in its light phase or light frequency, and passes through a delay line

15 ~~(2.9')~~, and which are subsequently combined at an additional beam splitter ~~(2.10)~~ of the modulating interferometer ~~(2)~~, having a measuring probe ~~(3)~~ that is spatially separated from

the modulating interferometer ~~(2)~~ and is ~~coupled to it or able to be coupled~~coupled/couple-able to it via a light-conducting

20 fiber set-up ~~(6)~~, in which ~~the~~ combined beam components are split in a common arm in a partially transmitting region ~~(3.3)~~ into ~~a~~ measuring beam and ~~a~~ reference beams, and in which the measuring beam ~~(r₁(t))~~ reflected at the surface and the reference beam ~~(r₂(t))~~ reflected at a reference plane are

25 superposed, and having ~~a~~ receiver device ~~(4)~~ and ~~an~~ evaluating device ~~(5)~~devices for converting the supplied radiation supplied to it into electrical signals and for evaluating the signals based on the basis of a phase difference, One

favorable. A construction for reliable measurements even in

30 tight hollow spaces ~~comes about in~~provides that the partially transmitting region ~~(3.3)~~ is formed by a slanting exit face ~~(3.31)~~ of a probe fiber ~~(3.1)~~ at an exit angle ~~(α)~~ with ~~respectas~~ to the optical probe axis ~~(3.5)~~ and a likewise slanting entrance face ~~(3.32)~~, of a fiber section

{3.2} following on the object side, ~~with respect as~~ to the optical probe axis {3.5} at an entrance angle { β }, a wedge-shaped gap being formed between the exit ~~surface~~ {3.31} and ~~the~~ entrance ~~surface~~ {3.32}.

5 {Figsurfaces. 1}